

## Application Guidelines: Grants for Peer-Reviewed Publications

<b>Rules</b>	General terms and conditions of FWF contracts (Section 6, Other Costs; 6.3, Publication costs).
<b>Open Access</b>	In accordance with the <a href="#">Berlin Declaration</a> , the FWF requires all project leaders and staff members to make their <b>peer-reviewed research results</b> freely available on the Internet via Open Access media. For further information, please see the FWF's <a href="#">Open Access Policy</a> .
<b>Remarks</b>	<p>In addition to the project budget, costs for Open Access are generally covered (for other cost items, see below). For Open Access options which do not involve charges, please see <a href="#">Green Open Access</a> or the <a href="#">Directory of Open Access Journals (DOAJ)</a>.</p> <p><b>1. Gold Open Access:</b> Open Access to publications is ensured through direct publication in an Open Access venue. The publisher should apply the highest level of the principles of <a href="#">HowOpenIsIt</a>. In any case, however, the publication has to be made available using the <a href="#">Creative Commons Attribution CC-BY</a> licence (or an equivalent open licence). Journals have to be listed in the <a href="#">Directory of Open Access Journals (DOAJ)</a>.<sup>1</sup> For other publication formats similar to journal articles, the review process must be described in a transparent manner on the website of the publishing venue. For the funding of monographs, complete collected volumes and proceedings, databases or other digital formats, see <a href="#">Stand-Alone Publications</a>.</p> <p><b>2. Hybrid Open Access:</b> If offered by publishers and if explicitly chosen by FWF-funded authors, the costs of Open Access to single contributions in a subscription venue (<a href="#">Hybrid Open Access</a>) can also be covered. The same rules as for Gold Open Access have to be applied, but the journals or proceedings have to be listed in <a href="#">Web of Science</a> or <a href="#">Scopus</a>.</p> <p><b>Archiving:</b> Notwithstanding the selected option, publications have to be deposited (by the author or by the publisher) in repositories with sustainable access at the time of publication. These can be either subject or institutional repositories; see <a href="#">Directory of Open Access Repositories</a>.<sup>2</sup></p> <p><b>For projects approved before November 1, 2014, please note the following:</b></p> <p><b>Gold and Hybrid Open Access:</b> The fee should not exceed <b>EUR 3,000.00</b> (upon consultation with the FWF, exceptions may be made in certain cases).</p> <p><b>Other costs:</b> The FWF provides additional funding for page charges, colour figures, submission fees, membership fees (but only if article fees are significantly reduced by a membership) and similar costs. Funding is not provided for illustrations (covers, etc.) and reprints.</p>

<sup>1</sup> If an Open Access journal has been founded very recently (in the last 12 months) and is therefore not yet registered in the DOAJ, it has to be clear from the journal's website that the DOAJ criteria are fulfilled.

<sup>2</sup> If an embargo period has to be applied, most repositories offer settings so that the publication is not made openly accessible until the embargo period has expired.

**For all projects approved after November 1, 2014, please note the following:**

**Gold Open Access:** The FWF covers a maximum of **EUR 2,500.00** per publication in addition to the project budget. If the fees are higher, authors might either consider an alternative venue or use the budget of an ongoing FWF project or other resources (e.g. cost-sharing with co-authors) to cover the differences.

**Hybrid Open Access:** The FWF covers a maximum amount of **EUR 1,500.00** per publication in addition to the project budget. If the fees are higher, authors might consider an alternative venue, choose [Green Open Access](#) or use the budget of an ongoing FWF project or other resources (e.g. cost-sharing with co-authors) to cover the differences.

**Other Costs:** Additional costs for publications in subscription venues which are not related to Open Access (e.g. page charges, colour figures, submission fees) are **no longer eligible** for funding. Should publishers request these cost items, authors might either consider an alternative venue or use the budget of an ongoing FWF project or other resources; it is **not** permissible to use the budget for illustrations (covers, etc.) and reprints.

**Monographs, collections and proceedings for projects approved before November 30, 2011:**

Funding for **monographs, complete collections and proceedings** is generally contingent on fulfillment of the Open Access obligation. Publication costs are reimbursed:

- (a) for an exclusively electronic version (up to EUR 8.000,00);
- (b) for identical electronic copies which are made available at the same time as the printed version (up to EUR 8.000,00); or
- (c) for identical electronic copies which are made available no more than 12 months after the printed publication (up to EUR 6.000,00); publications must remain freely available on the Internet in PDF format (and possibly other formats) for at least five years.

The publisher must make two non-anonymised peer reviews available to the FWF. The FWF's rules for conflicts of interest also apply to these reviewers; see: [http://www.fwf.ac.at/files/Entscheidung\\_Evaluation/fwf-decision-making-procedure.pdf](http://www.fwf.ac.at/files/Entscheidung_Evaluation/fwf-decision-making-procedure.pdf)

If the publisher does not keep the document in its archive after the end of the five-year period, the author or editor has the right and the obligation to make the identical electronic copy freely available in a repository of his/her choice.

For the funding of complete collected volumes and proceedings for projects approved after November 30, 2011, see [Stand-Alone Publications](#).

## Checklist

- Conditions** The publication has to undergo an international **peer review**.  
One of the authors must be the project leader and/or a project staff member.  
If the project ended more than 36 months before the invoice date, the FWF can no longer cover publication costs.  
The FWF must be mentioned in the acknowledgements as follows:  
**Austrian Science Fund (FWF): project number**
- Procedure** Publication costs are remitted to the publishers by project leaders and then reimbursed to the project account by the FWF. In order to do so, the resources of all ongoing FWF projects can be used. If there is no ongoing FWF project, the research institutions should make an advance payment.
- Exceptions** For the following publishers, publication costs are transferred directly by the FWF with no charge payable by the authors (please click on the publisher's name for more information).
- [American Chemical Society \(ACS\)](#)
  - [BioMedCentral](#) (including Chemistry Central, Springer Open)
  - [Institute of Physics \(IoP\)](#) (most journals)
  - [RSC](#) Gold for Gold Voucher
  - [Springer](#) Compact
  - [Wiley-Blackwell](#) (Wiley Open Access journals only)
  - [Taylor & Francis](#) (most journals)
- Application** An e-mail from the **project leader** with the following enclosures:
- 1 The completed **Application Form for Peer Reviewed Publications**:  
[http://www.fwf.ac.at/files/Antragstellung/Referierte\\_Publicationen/rp\\_form.pdf](http://www.fwf.ac.at/files/Antragstellung/Referierte_Publicationen/rp_form.pdf)
  - 2 Electronic version of the **publication** (no scanned files)
  - 3 The **original invoice** (\*.pdf) from the publisher, together with the note "Funded by the FWF", the persistent identifier (e.g. DOI) or the title of the paper
  - 4 **Proof of payment in euro** (\*.pdf)
    - (a) **credit card statement** or a copy of the bank transfer slip; **or**
    - (b) if the payment was made by a research institution that is subject to the 2002 Universities Act (UG 2002), an **SAP documentation** with a stamp and signature from the accounting department of the university is required. In any case, a connection to the invoice must be shown (e.g. invoice number, title of publication). Documented bank charges can be refunded.

The FWF will not make foreign bank transfers to cover publication costs.

## Contacts and further information

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