



Data Management Plans in Horizon 2020

Dr. Tomasz Miksa

TU Wien & SBA Research

tmiksa@sba-research.at





Agenda

- Why do we need Data Management Plans (DMPs)?
- What is a DMP?
- What are the Horizon 2020 requirements?
- How to create a DMP?
- Tips for writing DMPs





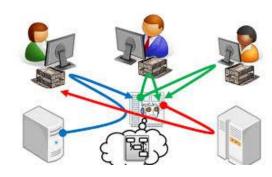
WHY DO WE NEED DATA MANAGEMENT PLANS?





e-Science and Research Infrastructures

- Scientists exchange
 - data
 - services
 - computational power
- Collaborate to solve challenges
 - DNA sequencing
 - Earth Observation
 - climate change
 - tsunami forecasting
 - Large Hadron Collider







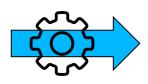


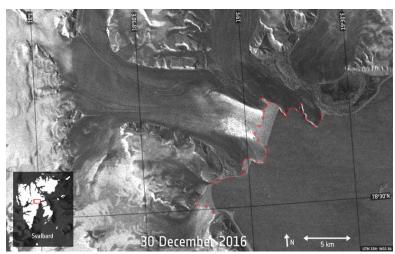


eScience and Research Infrastructures

- Research requires special tooling and software
 - capture
 - pre-process
 - transform
 - visualize
 - interpret the data

```
| Spring | S
```





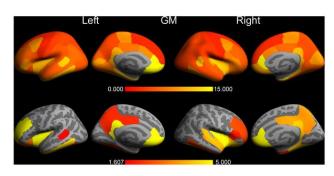
http://www.esa.int/spaceinimages/Images/2017/05/Negribreen_on_the_move

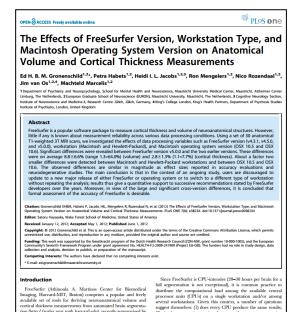




Reproducibility

- Studies show very low reproducibility in
 - medicine
 - economy
 - computer science
- Reproducibility requires
 - well documented research workflows
 - precise information on the experiment's environment [1] [2]







(2) is there any interaction between the processes running

Just like similar neuroimaging packages, new releases of FreeSurfer are issued regularly, fixing known bugs a existing tools and/or adding new ones. Each release is accompa nied with documentation describing the changes relative to the previous release (http://surfer.mmr.mgh.harvard.edu/fswiki/ ReleaseNotes). However, transition to a new release during the

[1] https://doi.org/10.1016/j.jbi.2016.10.011

Imaging, Harvard-MIT, Boston) comprises a popular and freely available set of tools for deriving neuroanatomical volume and

cortical thickness measurements from automated brain segmentation (http://surfer.nmr.mgh.harvard.edu), recently summarised by Fischl [1]. A number of reported studies discussed the accuracy of

racin [1]. A number or reported statues this usused the actuality of the technique by comparing the volume of specific brain structures, such as the hippocampus or amygelala, with manually devived volume [2—5]. The measurement of cortical thickness was validated against histological analysis [6] and manual measure-ments [7,8]. Mos he reliability of the reasurements was subject of a number of investigations. Some of these studies addressed the

ffect of scanner-specific parameters, including field strength, pulse

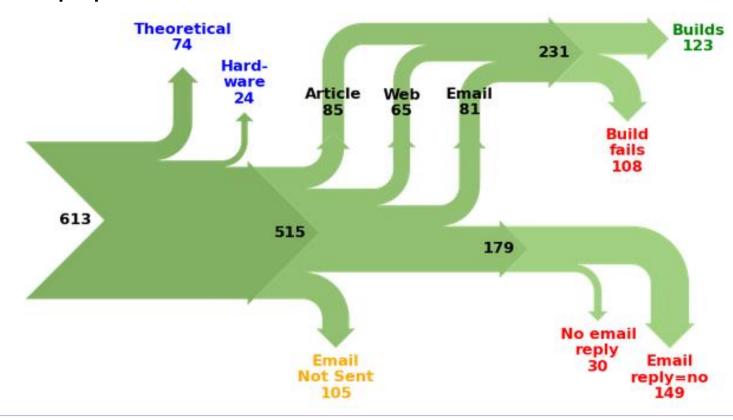
[2] https://doi.org/10.1371/journal.pone.0038234





Reproducibility Computer Science

613 papers in 8 ACM conferences



C. Collberg and T. Proebsting, "Measuring reproducibility in computer systems research," 2014. [Online]. Available: http://reproducibility.cs.arizona.edu/tr.pdf



Reproducibility Computer Science



- E-mail replies from authors
 - Wrong version
 - Code will be available soon
 - Programmer left
 - Bad backup practices
 - Commercial code
 - Proprietary academic code
 - Intellectual property
 - No intention to release
 - ...





Variety of solutions

- To improve reproducibility and data management many solutions were proposed
 - open access to scientific publications and data
 - research data repositories to host the data
 - data citation to reference the datasets
 - DATA MANAGAMENT PLANS











WHAT IS A DATA MANAGEMENT PLAN?

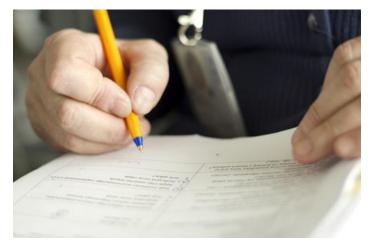




Data Management Plan

- DMP is a formal document
- It outlines what you will do with your data during and after you complete your research
- It ensures your data is safe for the present and the future

[from University of Virginia Library]







DMP is an awareness tool!

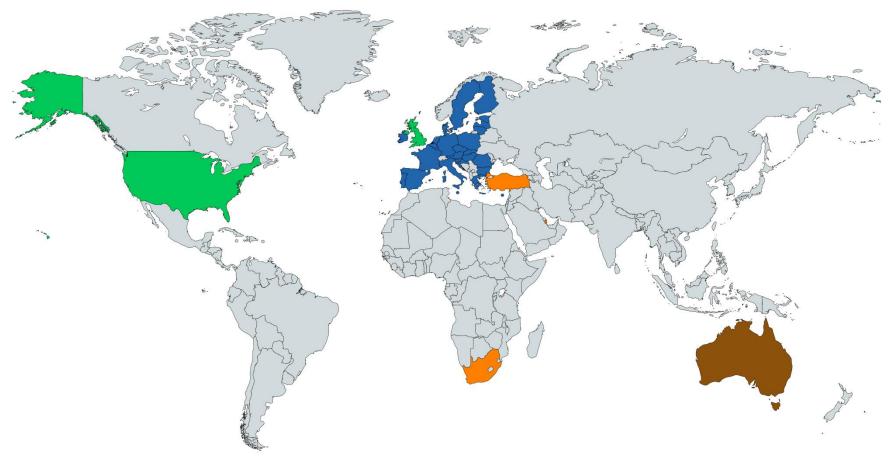
- DMP makes you think
 - what data you will use and where you get it from
 - what infrastructure, software, licenses are needed
 - what will be the output of your research
 - how you will share your research outputs
- DMP helps you organise yourself better
- DMP can be useful for ethics committee
- DMP can reveal how solid your research methodology is
 - is it a 'fishing expedition'?







DMPs worldwide





















HORIZON 2020 REQUIREMENTS





EC Horizon 2020 Open Research Data Pilot

- Open access to publications is default
- Open access to research data is default from 2017
 - NOT all data must be released
 - data needed to validate scientific publications
 - other data on a voluntary basis
- Opt-out possibility
 - No impact on the proposal evaluation
 - At every stage
- Data management costs can be claimed







EC Horizon 2020 DMP Template

- Template is recommended but not required
 - 6 sections
 - 31 questions
 - Follows FAIR principles
 - Data must be Findable, Accessible, Interoperable, and Reusable

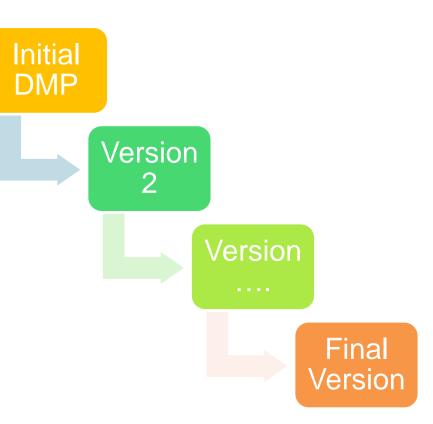
DMP component	Issues to be addressed
1. Data summary	State the purpose of the data collection/generation
	Explain the relation to the objectives of the project
	 Specify the types and formats of data generated/collected
	Specify if existing data is being re-used (if any)
	Specify the origin of the data
	State the expected size of the data (if known)
	Outline the data utility: to whom will it be useful
2. FAIR Data	





EC Horizon 2020 DMP versions

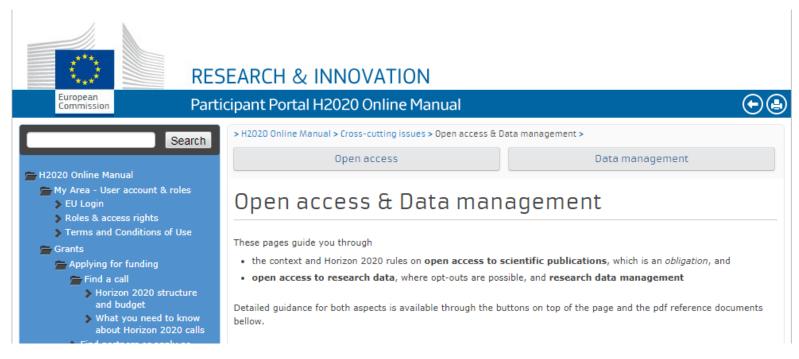
- DMP is a living document
- First version
 - within the first 6 months
- Updated versions
 - when significant changes occur
 - new datasets
 - changes in policies
 - periodic reporting
 - project reviews
 - end of project







More Horizon 2020 specific information



- http://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/openaccess-dissemination_en.htm
- Guidelines to rules on Open Access to Scientific Publications & Open Access to Research Data in Horizon 2020
- Guidelines on Data Management in Horizon 2020
- Template for the Data Management Plan





HOW TO CREATE A DMP?





DMP in Horizon 2020

- DMP is a project deliverable
- DMP is a written document
- DMP Template
 - recommended but not required
 - contains auxiliary questions
 - Template for the Data Management Plan

1. Data Summary

What is the purpose of the data collection/generation and its relation to the objectives of the project?

What types and formats of data will the project generate/collect?

Will you re-use any existing data and how?

What is the origin of the data?

What is the expected size of the data?

To whom might it be useful ('data utility')?

2. FAIR data

2. 1. Making data findable, including provisions for metadata

Are the data produced and/or used in the project discoverable with metadata, identifiable and locatable by means of a standard identification mechanism (e.g. persistent and unique identifiers such as Digital Object Identifiers)?

What naming conventions do you follow?

Will search keywords be provided that optimize possibilities for re-use?

Do you provide clear version numbers?

What metadata will be created? In case metadata standards do not exist in your discipline, please outline what type of metadata will be created and how.

2.2. Making data openly accessible

Which data produced and/orused in the project will be made openly available as the default? If certain datasets cannot be shared (or need to be shared underrestrictions), explain why, clearly separating legal and contractual reasons from voluntary restrictions.

Note that in multi-beneficiary projects it is also possible for specific beneficiaries to keep their data closed if relevant provisions are made in the consortium agreement and are in line with the reasons for opting out.

How will the data be made accessible (e.g. by deposition in a repository)?

What methods or software tools are needed to access the data?

Is documentation about the software needed to access the data included?

Is it possible to include the relevant software (e.g. in open source code)?

Where will the data and associated metadata, documentation and code be deposited? Preference should be given to certified repositories which support open access where possible.

Have you explored appropriate arrangements with the identified repository?

If there are restrictions on use, how will access be provided?

Is there a need for a data access committee?

Are there well described conditions for access (i.e. a machine readable license)?

How will the identity of the person accessing the data be ascertained?

2.3. Making data interoperable

Are the data produced in the project interoperable, that is allowing data exchange and re-use between researchers, institutions, organisations, countries, etc. (i.e. adhering to standards for formats, as much as possible compliant with available (open) software applications, and in particular facilitating re-combinations with different datasets from different origins)?

What data and metadata vocabularies, standards or methodologies will you follow to make your data interoperable?





DMP in Horizon 2020

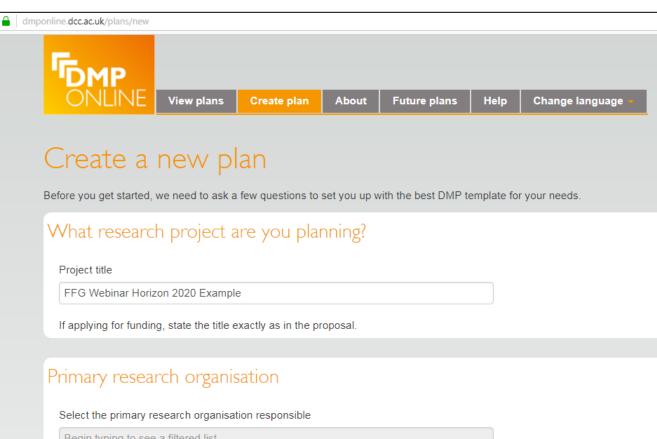
- Software tools for filling out DMPs
 - users choose appropriate funders template
 - relevant questions and guidance is presented
 - facilitate co-working
 - results can be exported to PDF
- Usually mixed approach works best
 - check guidance in the tool and create your own document











Begin typing to see a filtered list

Wy research organisation is not on the list or no research organisation is associated with this plan

Funding organisation

Select the funding organisation

European Commission (Horizon 2020)



No funder associated with this plan

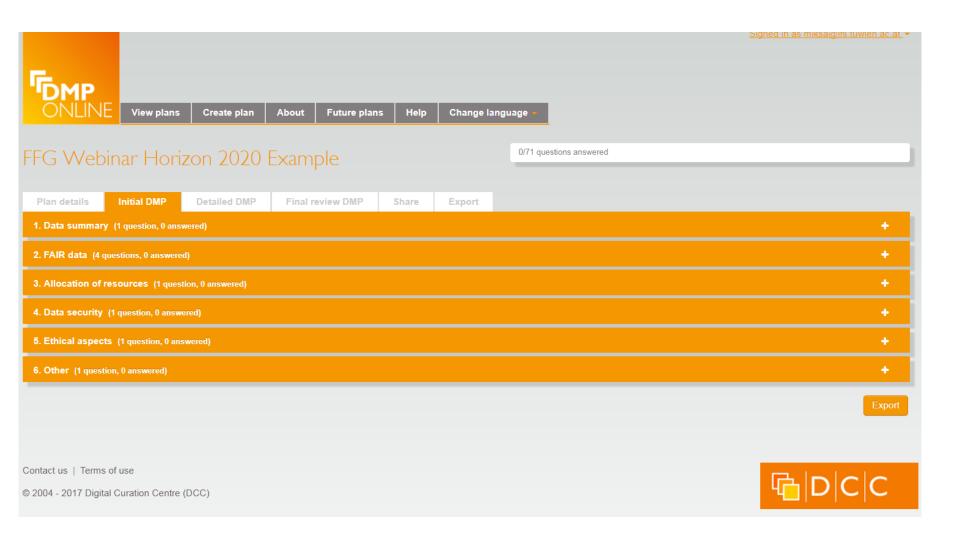
Create Plan

https://dmponline.dcc.ac.uk

3

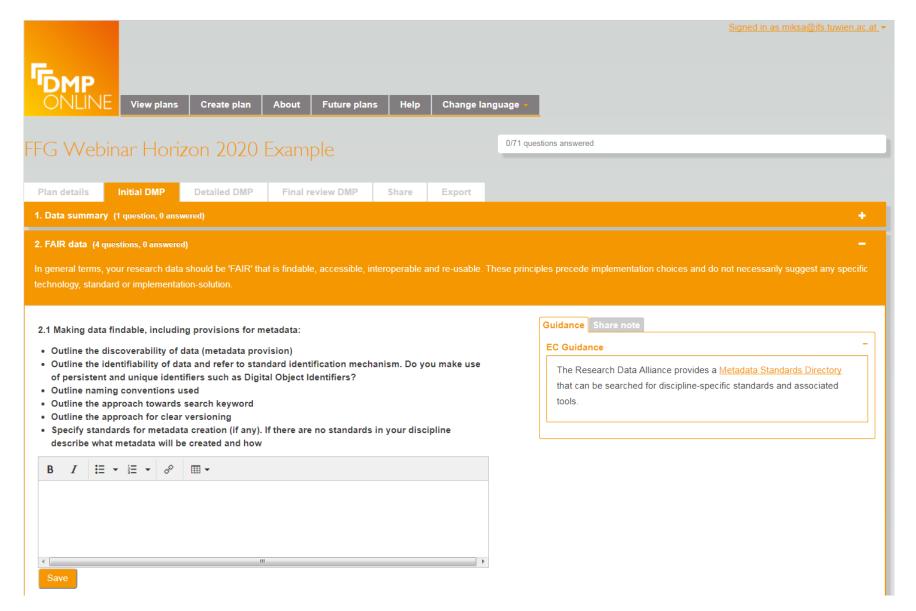






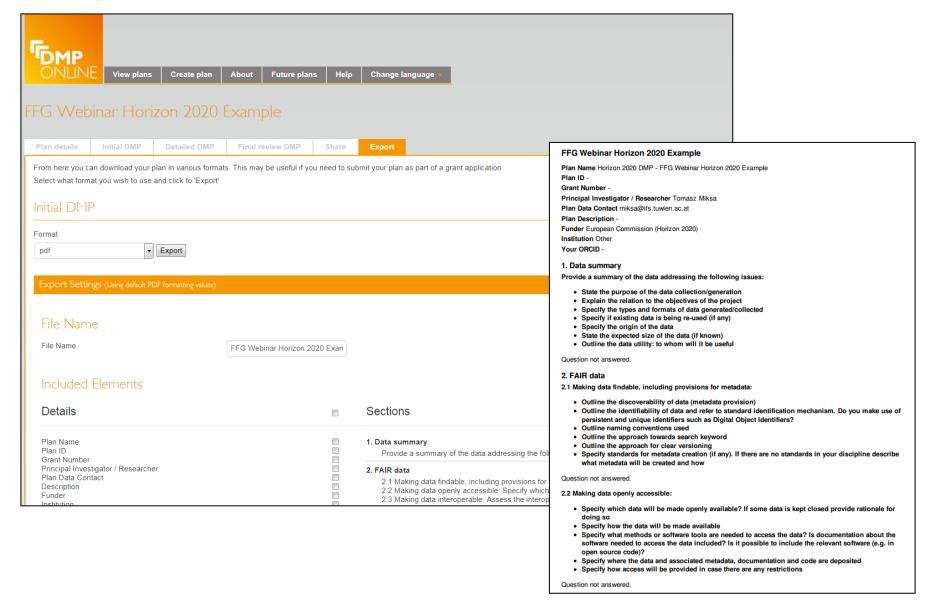
















WHAT SHOULD I WRITE?





DMP Horizon 2020

- 1. Data Summary
- 2. FAIR data
- 3. Allocation of resources
- 4. Data Security
- 5. Ethical aspects
- 6. Other issues







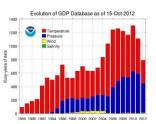
What is data?

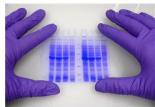
- Instrument measurements
- Experimental observations
- Still images, video and audio
- Text documents, spreadsheets, databases
- Quantitative data (e.g. survey data)
- Survey results & interview transcripts
- Simulation data, models & software
- Slides, artefacts, specimens, samples
- Questionnaires
- Sketches, diaries, lab notebooks ...



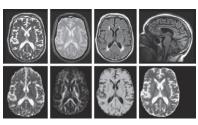
















Data Summary

Type

 text, spreadsheets, software, models, images, movies, audio, patient records, etc.

Source

 human observation, laboratory, field instruments, experiments, simulations, compilations, etc.

Volume

- total volume of data, number of files, etc.

Data and file formats

- non-proprietary formats
- used within community





Data Summary - example

Every two days, we will subsample E. affinis populations growing under our treatment conditions. We will use a microscope to identify the life stage and sex of the subsampled individuals. We will document the information first in a laboratory notebook and then copy the data into an Excel spreadsheet. The Excel spreadsheet will be saved as a comma separated value (.csv) file.

From DataOne – E. affinis DMP example





FAIR Principles

Findable

- the data is available online, likely in a repository
- contains metadata that facilitates search

Accessible

- access conditions are specified
- software needed to interpret data is known

Interoperable

- Follow standards and domain specific conventions
- Reusable
 - clear license and documentation
 - 'sum of the three other rules'
- There is no clear distinction between principles
 - e.g. metadata supports all of them





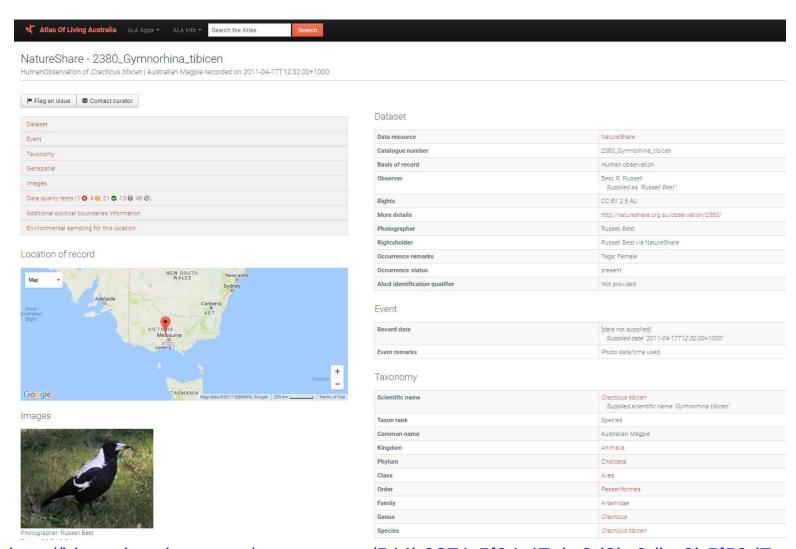
Standards and Metadata







Metadata – Atlas Of Living Australia



http://biocache.ala.org.au/occurrences/544b0271-5f04-47ab-9d8b-0dbe3b5f59d7





Metadata – Atlas Of Living Australia

Dataset

Data resource	NatureShare
Catalogue number	2380_Gymnorhina_tibicen
Basis of record	Human observation
Observer	Best, R. Russell Supplied as "Russell Best"
Rights	CC BY 2.5 AU
More details	http://natureshare.org.au/observation/2380/
Photographer	Russell Best
Rightsholder	Russell Best via NatureShare
Occurrence remarks	Tags: Female
Occurrence status	present
Abcd identification qualifier	Not provided





Standards and metadata

Metadata

- helps to understand and interpret data
- provides details about experiment setup
 - who, when, in which conditions, tools, versions, etc.
- helps identify and discover new data
- Use community standards to enable interoperability
 - http://www.dcc.ac.uk/resources/metadata-standards
 - http://rd-alliance.github.io/metadata-directory/standards/





Standards and metadata

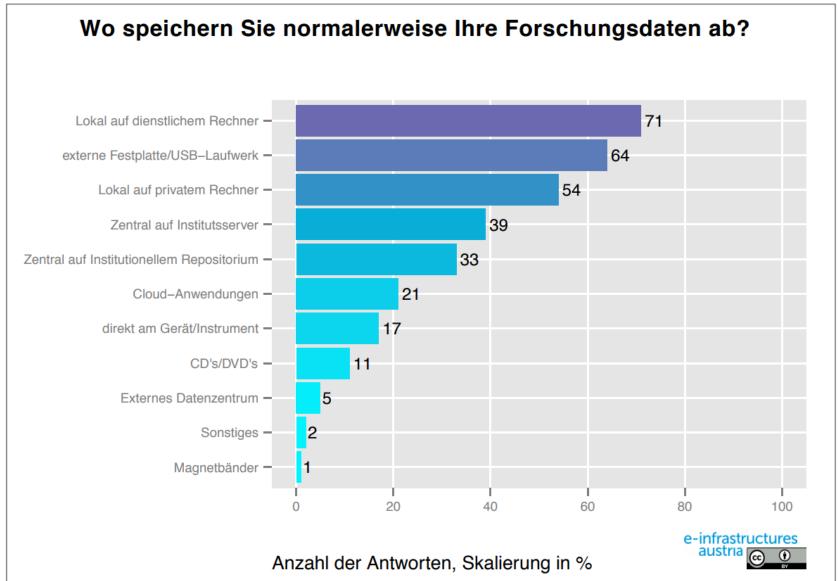
We will first document our metadata by taking careful notes in the laboratory notebook that refer to specific data files and describe all columns, units, abbreviations, and missing value identifiers. These notes will be transcribed into a .txt document that will be stored with the data file. After all of the data are collected, we will then use EML (Ecological Metadata Language) to digitize our metadata. EML is one of the accepted formats used in ecology, and works well for the types of data we will be producing. We will create these metadata using Morpho software, available through KNB. The metadata will fully describe the data files and the context of the measurements.

From DataOne – E. affinis DMP example





Managing data during research



http://phaidra.univie.ac.at/o:407513





Managing data during research

- If you loose your data there will be nothing to share!
- Recreating or recollecting data can be
 - impossible
 - e.g. observational data
 - too expensive
 - e.g. cost of computational power
- How do you manage data during the project?
 - file naming convention
 - versioning
 - backups
 - should the access be restricted?
 - who is responsible?







Data sharing

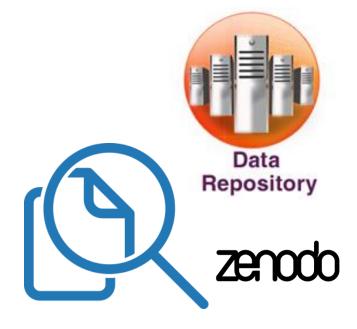
With collaborators while research is active





Data are mutable

(Open) data sharing



Data are stable, searchable, citable, clearly licensed

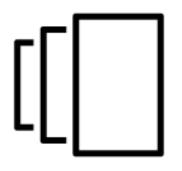




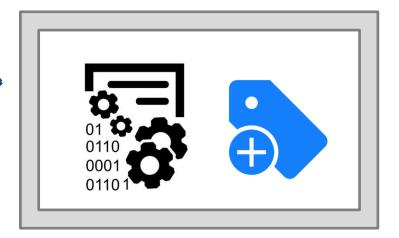
Backup vs preservation

Storing and backing up files while research is active

Archiving or preserving data in the long-term







Likely to be on a networked filestore or hard drive

Likely to be deposited in a digital repository

Easy to change or delete

Safeguarded and preserved





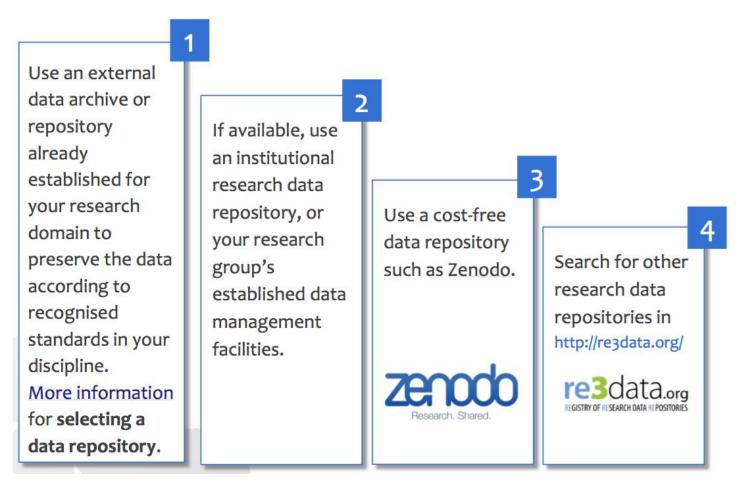
Archiving and preservation

- Which data will be shared?
 - What has to be kept?
 - What can't be recreated?
 - What is potentially useful to others?
 - What has scientific, cultural or historical value?
 - What legally must be destroyed?
- Where will the data be deposited?
 - not all of the data must be shared in the same way
- Are there any embargo periods?
- For how long?
- What is the cost and who will pay for it?
- Which license to use?





Where to find a repository?



- More information: https://www.openaire.eu/opendatapilot-repository
- Zenodo: http://www.zenodo.org
- Re3data.org: http://www.re3data.org

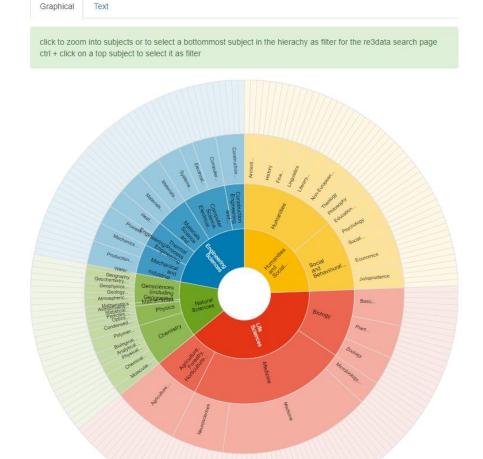




re3data.org



Browse by subject

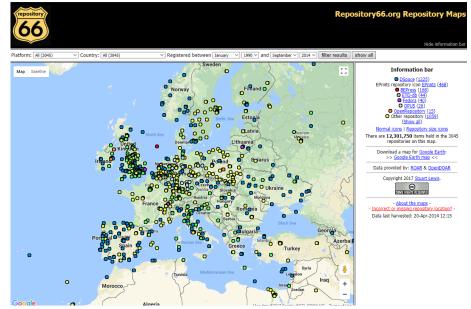






Repository registries

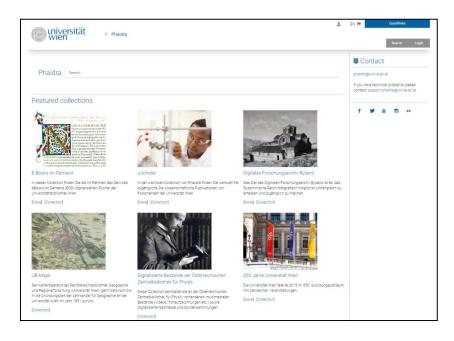
- Directory of Open Access Repositories DOAR
 - http://www.opendoar.org/
- Registry of Open Access Repositories ROAR
 - http://roar.eprints.org/
- Projection of DOAR and ROAR onto google maps
 - http://maps.repository66.org



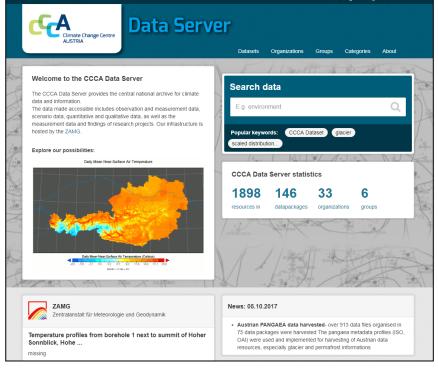




Repositories in Austria - examples



https://phaidra.univie.ac.at



https://data.ccca.ac.at





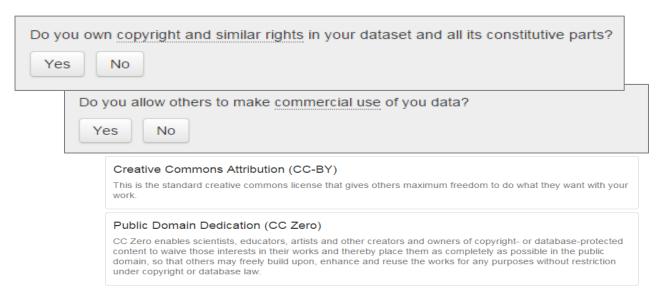
Licenses

Horizon 2020 guidelines point to CC-BY or CC-0





- DCC How-to guide helps you to license data
 - www.dcc.ac.uk/resources/how-guides/license-research-data
- EUDAT licensing wizard help you pick licence for data & software



http://ufal.github.io/public-license-selector





Data sharing and preservation

Data will be provided in file formats considered appropriate for long-term access, as recommended by the UK Data Service. For example, SPSS Portal format and tab-delimited text for qualitative tabular data and RTF and PDF/A for interview transcripts. Appropriate documentation necessary to understand the data will also be provided. Anonymised data will be held for a minimum of 10 years following project completion, in compliance with LSHTM's Records Retention and Disposal Schedule. Biological samples (output 3) will be deposited with the UK BioBank for future use.

From Writing a Wellcome Trust Data Management and Sharing Plan





TIPS FOR WRITING DMPS





Tips for writing DMPs

- DMPs vary across scientific domains
- No good or bad answers rationale is important
- DMP can reveal how solid your research is
- Seek advice consult and collaborate
- Discuss any technical issues with the IT personnel
- When answering questions from checklists write coherent text
- Be specific when referring to tools and standards
- Assign responsibilities and name responsible personnel





Tips for writing DMPs

- Think about things early...
 - Negotiation on licenses and consent agreement may preclude later sharing if not careful
 - Useful to consider data issues at the consortium negotiation stage to make sure potential issues are identified and sorted asap
 - Manage your data correctly from the very beginning
 - backups, file naming conventions, access restrictions, metadata collection
 - Plan your budget

Decisions made early on affect what you can do later





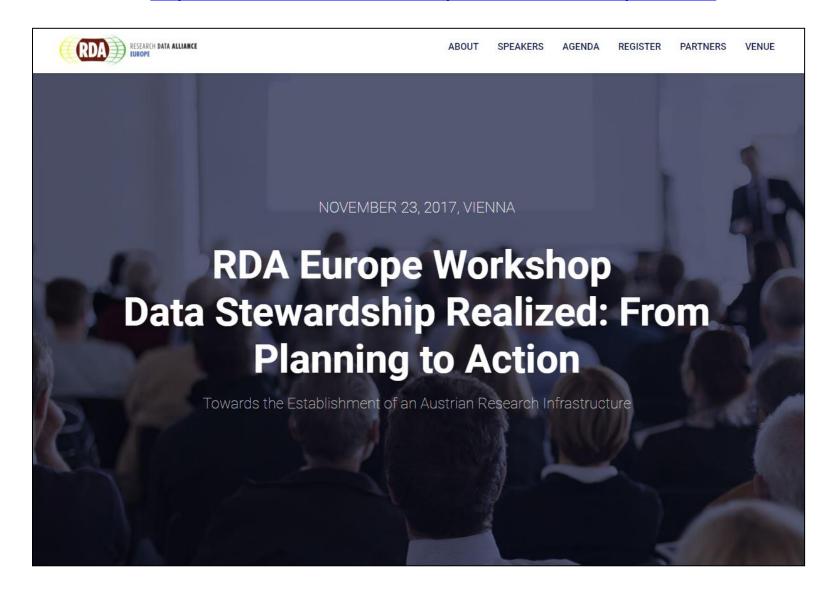
Summary

- DMPs are NOT meant to be yet another paper work imposed on researchers!
- DMPs are an awareness tool
- DMPs help you plan your project
- DMPs help in making data FAIR
- Future work: machine-actionable DMPs
 - automate data management
 - RDA DMP Common Standards WG
 - https://www.rd-alliance.org/system/files/documents/RDA P10 DMPCommonStandardsWG.pdf
 - https://www.rd-alliance.org/groups/dmp-common-standards-wg





http://www.ifs.tuwien.ac.at/dp/rda17-workshop-vienna







Useful resources

- Most links can be found on specific slides
- Managing and sharing data by UK Data Archive
 - http://www.data-archive.ac.uk/media/2894/managingsharing.pdf
- DMP Online
 - https://dmponline.dcc.ac.uk
- Ten Simple Rules
 - http://dx.doi.org/10.1371/journal.pcbi.1004525
- DMP Checklist
 - http://www.dcc.ac.uk/sites/default/files/documents/resource/DMP/DMP_Checklist_2013.pdf
- EUDAT webinars on data management
 - https://eudat.eu/training/research-data-management
- DMP Schulungsunterlagen e-Infrastructures Austria
 - http://phaidra.univie.ac.at/o:459770





Thank you! Any questions?

tmiksa@sba-research.org

Acknowledgements:

Thanks to EUDAT, DANS and DCC for reuse of slides, and to the OpenMinTeD and CAPSELLA projects for sharing their Data Management Plans