

WIENER WISSENSCHAFTS-, FORSCHUNGS- UND TECHNOLOGIEFONDS

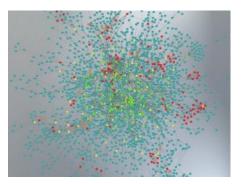
VIENNA SCIENCE AND TECHNOLOGY FUND

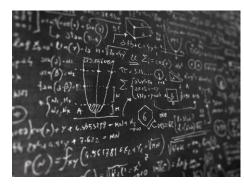
STRENGTHENING VIENNA'S EXCELLENCE IN RESEARCH

# Vienna Research Groups for Young Investigators

# Call 2016

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# **Agenda**

- Overview WWTF
- Vienna Research Groups for Young Investigators Call 2016 "Complexity Science"

## **WWTF: Distinctive features**

- The only larger Austrian private non-profit organisation in research funding
- Founded in 2001; funding activities since 2003: more than 120 m €
  have been awarded (Banking Foundation, City of Vienna)
- WWTF Mission:
  - We fund top scientific research in Vienna
  - We provide substantial funding for larger research projects and bring younger Research Group Leaders from abroad to Vienna
  - We organise competitive calls according to highest international standards

#### The current call I

### Thematic programmes



Life Sciences (\*2003)



Mathematics and ... (\*2004)



Information- and Communication Technology (\*2008)



Social Sciences and Humanities (\*2008-2013)



Cognitive Sciences (\*2008)

#### **Instruments**

**Projects** 

Science chairs

Vienna Research Groups

**Summer Schools** 

#### The current call II

# Call 2016: "Complexity Science"

- ...concentrates on complex adaptive systems and is interested in dynamic and structural properties
- ...tries to understand how complex systems behave
- …is interdisciplinary / multi-disciplinary
- ...is driven by new challenges and demands in technology and by the availability of computing resources with sufficient power to model large scale complex systems
- ...has to be quantitative, predictive and testable
- …includes social, biological or technological systems
- ...requires mathematical knowledge and methods as a prerequisite
- ...covers a broad range of fields: biology, life sciences, social sciences, ICT, computational science, physics, economics, political science, mathematics
- ...has potential for applications
- ...should add to current activities to strengthen research activities in Vienna



# The current call III

- Financed by the City of Vienna, operated by WWTF
- 7<sup>th</sup> call within Vienna Research Groups for Young Investigators Programme
- 2016: 1 position with max. funding of 1.6 mio €

Life Sciences	ICT	Mathematics and	Cognitive Sciences	Life Sciences	Computational Biosciences	Complexity Science
Funding	Funding	Funding	Funding	Funding	Funding	Funding
volume:	volume:	volume:	volume:	volume:	volume:	volume:
4.5 mio. €	3 mio. €	3 mio. €	1.5 mio. €	4.8 mio. €	3.2 mio. €	1.6 mio. €
Funded VRG	Funded VRG	Funded VRG	Funded VRG	Funded VRG	Funded VRG	To be funded
Leaders:	Leaders:	Leaders:	Leaders:	Leaders:	Leaders:	VRG Leader:
3	2	2	1	3	2	1
2010	2011	2012	2013	2014	2015	2016

# Characteristics of a Vienna Research Group

- Typically the first "genuine" group led by a young researcher. The WWTF funding (6-8 years) should enable the group members to take the next step in their careers.
- Vienna Research Group leaders...
  - take responsibility for group members
  - are independent in designing their research work and recruiting their staff
  - try to increase third party funding over the years
  - are coming from abroad and are not employed in Austria at the date of submission and being employed at least 2 years within the last 3 years at a non-Austrian institution
  - are in general 2-8 years after PhD (care duties may extend this period)
- Milestones: (i) first phase mainly financed by WWTF (4-5 years), (ii) an interim evaluation after the first phase, (iii) increased in-kind contributions of the home institution in the second phase (i.e. years 6, 6-7 or 6-8)

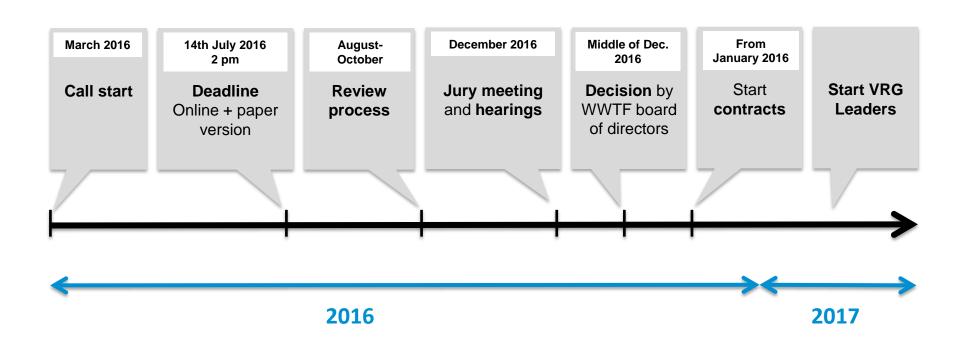
# **General key facts**

- The call process comprises the submission of a concise proposal and a hearing of selected candidates in Vienna. Note that this call employs a single stage procedure
- A job announcement e.g. in a high impact journal that is relevant for the topic and/or via professional societies/mailing lists is obligatory → we are happy to put the announcement also on our webpage (www.wwtf.at)
- WWTF encourages Vienna-based research institutions to propose women
  who show potential to act as group leaders. The successful appointment
  of a woman will be rewarded with a financial benefit for the Vienna host
  institution in order to support their gender mainstreaming activities

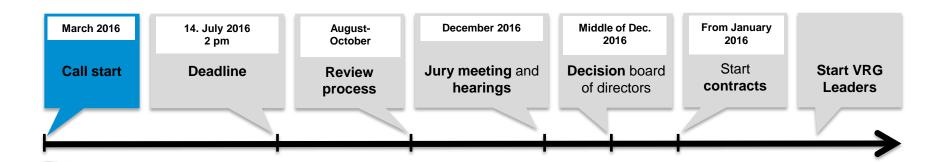
# **Key facts - finances**

- The financial emphasis should lie on the funding of scientific personnel -> balance in relation to infrastructure/material costs
- **In-kind vs. overhead**: Basic infrastructure such as office equipment, rent, telephone, internet, basic lab equipment does NOT count as in-kind but is covered by the overheads (paid-out by WWTF)!
- New: The budgetary allocation with eventual partner institutions has to be specified already at the application stage
- WWTF does not cover any travelling expenses for candidates who are invited to the hearings. Compensation of travel expenses e.g. via http://www.ffg.at/career-grants (Career grants)

## Schedule of the current call



# **VRG** jury and applicants



#### **VRG** jury

- ~7 international excellent scientists/experts with call-relevant expertise
- · Duties within the process
  - Supporting the review process
  - Nominating reviewers
  - Inviting candidates to the hearing &
  - Evaluating the performance at the hearing
  - Comparative assessment and uttering of the funding recommendation

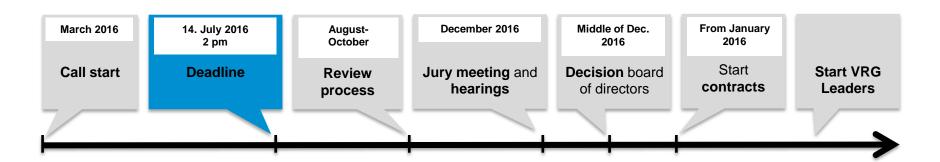
#### **Applicants**

- Universities and research institutions in Vienna (via proponent)
- Suggestion of
  - excellent young researchers
  - from abroad<sup>1)</sup>
  - In general 2-8 years after PhD on application date (in case of caring duties up to 4 years longer)
- Active search for female researches highly welcome

1) During the last three years at least two years at a foreign research institution and currently (submission deadline) not in Austria



# Submission deadline and formal eligibility check



#### **Submission**

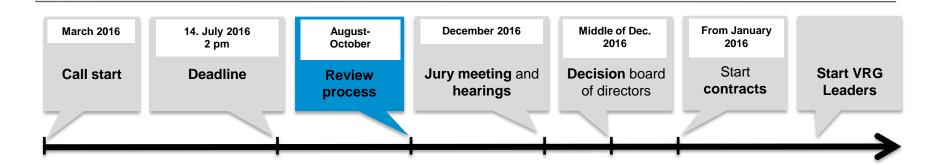
- Submission via WWTF Online Submission
   System AND hard copy (after submission!)
   incl. signatures
- Submission in person at WWTF office or date of post mark
- No funding of companies
  - Self funded business partners are possible
  - Project relevant services from companies can be calculated as material costs (if these services can not be provided within the projects with reasonable effort)

#### Formal eligibility check

- Punctual submission of paper & online version
- Both versions (online & paper) identical
- Presence of all necessary signatures
- In-kind contribution: >20% of total sum
- Overhead costs: the regulations of the host institutions have to be observed
- Maximum number of pages (limited by characters and uploads)
- Compliance of the academic age
- From abroad
- Active promotion (announcement, mailing lists,...)



# Review process and peer review



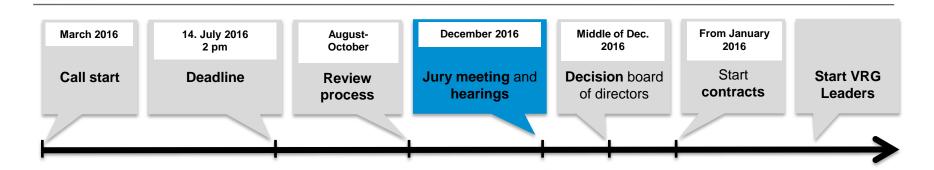
#### **Review process**

- Proposals that lie outside the subject area as well as those where a lack of quality is obvious, are not recommended for the review process by the jury
- Brief information without statement of the rejected applicants
- In case of positive proposals: reservation of both hearing days absolutely necessary (booking of a flexible ticket)

#### Peer review process

- 2-4 written reviews per proposal will be obtained
- Reviews only by international peers; remain anonymous
- Reviews form the basis of the jury's discussion

# Hearing, jury meeting and decision



# Hearing

- Invitation to hearing (selection after review process)
- Shortpresentation in Vienna

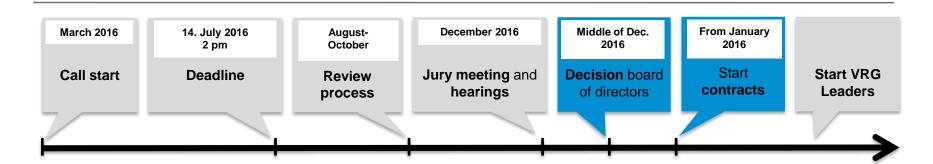
#### Jury meeting

- Main evaluation criteria:
  - Scientific quality and potential of candidates compared to the competitive international environment
  - · Quality of the research project
  - Integration into research environment
  - Career perspective
  - ...
- WWTF does not cover travelling expenses.
   Compensation of travel expenses e.g. via http://www.ffg.at/career-grants (Career grants)

#### Decision

- Decision by WWTF board of directors
- All applicants receive a decision letter (acceptances and rejections) with anonymous reviews

# **Employment contract and funding contract**



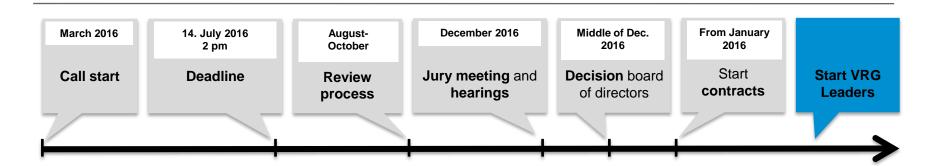
#### **Employment contract**

- The employment contract has to be available by no later than 2 months after the decision of the WWTF board of directors has been made
- Clarification until when a qualifying agreement will be offered
- Agreement on a coordinated evaluation of the candidate between the host institution and WWTF
- If the employment contract cannot be achieved within 2 months, the funding will be attributed to a reserve candidate

#### Funding contract

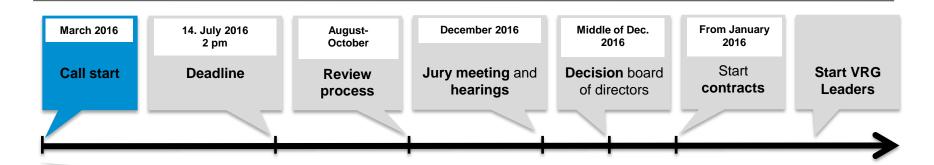
- Funding contract between WWTF and the host institution of the VRG leader (§ 27 according to UG2002 for universities)
- VRG leader has scientific and financial responsibility

# Project start, project duration, project completion

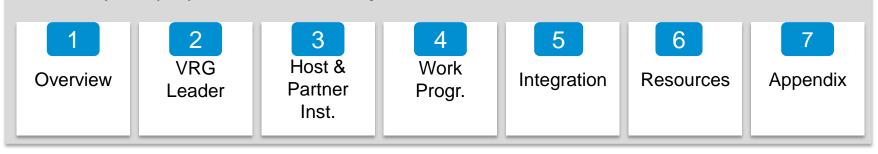


- Project start:
  - Latest start date: 18 months after decision by WWTF board of directors
- During project duration:
  - WWTF pays funding rates every six months in advance to the host institution
  - Short annual electronic reports to WWTF as prerequisite for the release of installments
  - Site visit by WWTF
- After project completion :
  - Comprehensive final report (online)

# Online submission system



- Registration with email address and name via <a href="https://funding.wwtf.at">https://funding.wwtf.at</a>
- Login, select VRG-Call and press "create a new proposal"
- Fill in proposal (online forms, upload of the required data in PDF-format)
- Submit proposal (one PDF file with project number is generated automatically)
  - Only possible when the proposal is filled completely
  - No changes are possible after the submission
- A complete proposal consists of 7 parts:



# **Overview**



- Basic information, scientific disciplines, details proponent/host institution, group leader and partner institutions
- Optional: excluded peer evaluators (max. 3), only visible for WWTF and jury. Note: only peer reviewers with a non-Austrian affiliation will be considered for peer review
- Scientific abstract: will be the first impression jury members and peer reviewers read
- Note: project number and requested funding (in €) will be set automatically after submission

# **VRG** Leader



- This section should be filled out by the envisaged VRG leader
- Details to
  - Career steps
  - Highlights of recent work
  - Description of (scientific) leadership potential
  - Motivation for application as Vienna Research Group Leader
- Upload CV of VRG leader
  - Page limit 4: 2 pages biographical scetch, 2 pages publication (max. 25 per page)
  - PDF format only

# Host / Partner Institution(s)



- This section should be filled out by the host institution
- Details to
  - Description of host institution
  - Motivation of host instution to bring the proposed group leader to Vienna
  - Partner institution (if applicable)
    - Boxes will automatically appear as soon as boxes are created in section 1
    - Role and cash in-kind contributions have to be confirmed by a Letter of Intent (Lol) in the appendix

# Work Programme



- Details to
  - State-of-the-art in the proposed field and scientific challenges in the next years
  - Relevant references (max. 20, mark the 10 most relevant references)
  - Research plan
- Disclosure of other applications for funding
- Details of complexity science
- Ethical approval
- Optional: Upload of additional materials to this chapter

# Integration of group leader



- Description of the VRG leaders's recruiting process & gender management:
  - Please include gender mainstreming/affirmative actions here
- Advertisement procedures
  - The international publication of a job announcement and/or distribution via mailing lists/professional societies is obligatory
  - Please upload only one job announcement as an example
- Status of group leader during funding period
  - Please explain in detail the steps leading to a tenure position (for example the
    exact process of getting a "Qualifizierungsvereinbarung" including time frames).
     These details will be the foundation of contractual elaborations in the case of funding
- Time plan, evaluation plan
- Career perspectives for group leader after the funding period
- Integration of the group leader in the host institution

### Resources I



- Details to
  - Description of the envisioned research group
  - Description of the equipment and materials needed
  - Statement of cash and in-kind contributions
    - Please be aware that the cash and in-kind contributions are just the contributions from the host institution. Cash in-kind contributions of potential partners (named in section 3 as additional institutions) need to be documented only in the appendix in the form of Letters of Intent covering items and amounts indicated
  - Overhead policy: max. 20% of the fundable direct costs
- Cost calculations: 3 tables
  - 1. Personnel costs
  - 2. Non-personnel costs
  - 3. Cost overview

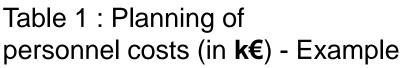
### Resources II



Before you can fill in the

personnel, you have to add

a row for every person.



#### Please enter the institution and the staff category. They Additional persons will be automatically numbered (N.N. 1,...) In order to prepare the overall budget per Partner Institution in detail in Security added here. Additional person 1 4 Name: \* Institution: \* Staff category \* N.N. 1 -- please select --Post Doc Additional person 2 T Name: \* Institution: \* Staff category \* N.N. 2 Diploma student -- please select --



add Additional person

# Resources III



Table 1 : Planning of personnel costs (in **k€**) - Example

Name	Staff category	* Funding source	Average yearly time commitment (from 0 to 100%)					Y1 salary 100% in	Funding applied for			
			Y1	Y2	Y3	Y4	Y5	Y6	Y7	Y8	k€	from WWTF in k€
Mr. Max Mustermann	Group Leader	○ WWTF ○ in-kind ○ in-kind & WWTF	0 MMTF 100	0 MMTF 100	0 NMTF 100	IN КІНВ 0 МИТЕ 100	0 NMTF 100	100 MMTF 0	100 HMTF	100 MMTF	90.0	477.8
N.N. 1	Post Doc	<ul><li>WWTF</li><li>in-kind</li><li>in-kind &amp;</li><li>WWTF</li></ul>	имте	ыытғ 100	ымтғ 100	ымтғ 50	ымтғ 50	инте	<u>ыытғ</u>	имте	61.0	195.2
N.N. 2	Diploma student	<ul><li>○ WWTF</li><li>● in-kind</li><li>○ in-kind &amp;</li><li>WWTF</li></ul>	IN KIND	IN KIND 100	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	IN KIND	32.0	
Total personnel costs applied for funding from WWTF in k€							673.0					

# **Resources IV**

1 Overview

VRG Leader

Host&Part ner Inst.

Work Prog. Integration Resources

Appendix

Table 1 : Planning of personnel costs (in k€)

Will be set automatically

Please avoid short term employments

Minimum wage according to the "Kollektivvertrag" (collective agreement) following the standards of the Austrian Science Fund FWF!



Attention: Wage for "Studentische MitarbeiterIn" is calculated by FWF with 20 hours and a "DoktorandIn" with 30 hours per week Total funding applied for will automatically be calculated including a **3% annual salary** increase

Increase in cash and in-kind contributions starting from year 5

#### Ressources V



VRG Leader

Host&Part ner Inst.

Work Prog. Integration

Costs stated per year

Resources

Appendix

Table 2 : Planning of non-personnel costs (in €)

#### Funded via:

- WWTF
- In-kind
- In-kind & WWTF

Description / Funding **Funding** cost category / Y1 **Y3 Y4 Y5** Y7 **Y8** applied for from source WWTF in k€ Institution XXX WWTF in-kind Û in-kind & Equipment WWTF MMTF MMTF **МИТЕ** MMTF **МИТЕ** MMTF Unspecified Institu ▼ 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 YYY O WWTP in-kind Û IN KIND ⊦kind & 0.0 0.0 0.0 0.0 0.0 0.0 0.0 Category: WMTF WMTF MMTF MMTF MMTF MMTF **MMTF** Equipment 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 Materials Travelling d for funding from WWTF in k€ 0.0 Specify institute **Publication costs** Workshops/Conferences Other

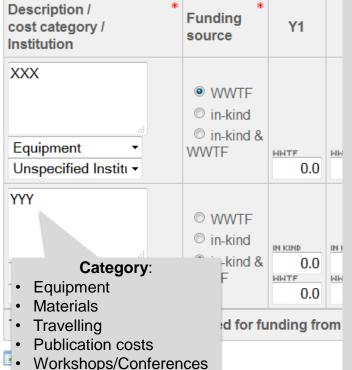
### **Ressources VI**



VRG Leader Host&Part ner Inst.

Work Prog. Integration Resources Appendix

# Table 2 : Planning of non-personnel costs (in €)



#### • Equipment:

- Devices, instruments, system components and other durable goods (providing the group leader with better infrastructure)
- Special explanation if the purchases planned exceed the amount of € 20,000.- incl. VAT
- Renting, leasing, adaptation also possible
- If bought, pro rata depreciation rates can be funded
- Consumables: expendable material; appliances up to € 1,500.- € excl. VAT, software
- Workshops / conferences: organized internally
- Travelling: attending project related scientific events and invitations
- Publication costs such as open access cots
- "Other": This covers all other costs, for example service contracts (paid on a lump sum basis)

Other

# **Appendix**



- Letters of intent
  - One letter of intent signed by the responsible director/manager/head of each partner institution who either receive parts of WWTF funding or provide in-kind contributions (template available online)
  - Max. 2 pages/up to 3 partners
  - Do not upload main contents in regard to the work programme here
- Letter of recommendation / additional materials
- Upload photo
  - For internal use only
- Affirmations

# **Overview key facts**

- Complete PDF is generated automatically after the submission based on the input → download and obtain relevant signatures
- Include sheet with wet signatures of the authorized persons in the hard copy and hand in at WWTF before the deadline
  - Observe intern rules/habits!
  - Signatures do not have to be all on one sheet!
- Some useful rules:
  - Keep applications short and precise!
  - Use a language aimed at the scientific community
  - Ambitious but feasible
  - In English
  - Contact the research service at your institution in advance!

### **Contact and consultation**





- All documents available at www.wwtf.at
- Personal consultation upon appointment

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